

# FORM NO. MGT 9

## EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31.03.2019

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014]

### I. REGISTRATION & OTHER DETAILS:

1	CIN	L51109WB1982PLC034938
2	Registration Date	June 02, 1982
3	Name of the Company	Easun Capital Markets Limited
4	Category/Sub-category of the Company	Company limited by Shares / Public non-government company
5	Address of the Registered office & contact details	Registered Office: 7, Chittaranjan Avenue, 3rd Floor, Bowbazar, Kolkata - 700072, West Bengal Contact: (+91) 33 4030 6474; Email: cs.sgroup2013@gmail.com Website: http://www.easuncapitalmarkets.com
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Niche Technologies Private Limited 3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata - 700 017, West Bengal Phone: (033) 2235 7271; Fax: (033) 2215 6823; Email: nichetechpl@nichetechpl.com Website: www.nichetechpl.com

### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Other financial service activities, except insurance and pension funding activities, n.e.c.	64990	100

### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	<b>NIL</b>				
2					
3					

### IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

#### (i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian									
a) Individual/ HUF	3,67,550	1,51,823	5,19,373	9.93%	3,81,973	2,01,900	5,83,873	11.17%	1.24%
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	(0.00)
d) Bodies Corp.	13,14,874	4,96,413	18,11,287	34.64%	16,90,287	1,21,000	18,11,287	34.63%	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (1)	16,82,424	6,48,236	23,30,660	44.57%	20,72,260	3,22,900	23,95,160	45.80%	1.23%
<b>(2) Foreign</b>									
a) NRI Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (2)	-	-	-	-	-	-	-	-	-
<b>TOTAL (A)</b>	<b>16,82,424</b>	<b>6,48,236</b>	<b>23,30,660</b>	<b>44.57%</b>	<b>20,72,260</b>	<b>3,22,900</b>	<b>23,95,160</b>	<b>45.80%</b>	<b>1.23%</b>



<b>B. Public Shareholding</b>										
<b>1. Institutions</b>										
a) Mutual Funds	-	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>										
a) Bodies Corp.										
i) Indian	14,08,563	9,57,129	23,65,692	45.24%	15,79,896	3,79,549	19,59,445	37.47%	-7.77%	
ii) Overseas	-	-	-	-	-	-	-	-	-	-
b) Individuals										
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	1,200	49,964	51,164	0.98%	29,400	1,65,813	1,95,213	3.73%	2.75%	
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	65,000	4,16,693	4,81,693	9.21%	36,800	6,42,591	6,79,391	12.99%	3.78%	
c) Others (specify)										
Non Resident Indians	-	-	-	-	-	-	-	-	-	-
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-	-
Trusts	-	-	-	-	-	-	-	-	-	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(2):-</b>	<b>14,74,763</b>	<b>14,23,786</b>	<b>28,98,549</b>	<b>55.43%</b>	<b>16,46,096</b>	<b>11,87,953</b>	<b>28,34,049</b>	<b>54.20%</b>	<b>-1.23%</b>	
<b>Total Public (B)</b>	<b>14,74,763</b>	<b>14,23,786</b>	<b>28,98,549</b>	<b>55.43%</b>	<b>16,46,096</b>	<b>11,87,953</b>	<b>28,34,049</b>	<b>54.20%</b>	<b>-1.23%</b>	
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	<b>31,57,187</b>	<b>20,72,022</b>	<b>52,29,209</b>	<b>100.00%</b>	<b>37,18,356</b>	<b>15,10,853</b>	<b>52,29,209</b>	<b>100.00%</b>	<b>0.00%</b>	

(ii) Shareholding of Promoter

(ii) Shareholding of Promoter								
SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
INDIVIDUALS / HINDU UNDIVIDED FAMILY (HUF)								
		25,000	0.48%	0	25,000	0.48%	0	0.00%
1	Alok Salarpuria							
2	Apurva Salarpuria	1,47,900	2.83%	0	1,54,487	2.95%	0	0.13%
3	Archana Salarpuria	38,550	0.74%	0	45,136	0.86%	0	0.13%
4	Giridhari Prasad	3,750	0.07%	0	3,750	0.07%	0	0.00%
5	Jayshree Agarwal	100	0.00%	0	100	0.00%	0	0.00%
6	Malini	4,050	0.08%	0	4,050	0.08%	0	0.00%
7	Narayani Devi	48,300	0.92%	0	48,300	0.92%	0	0.00%



8	Purushottam Lal Agarwal	300	0.01%	0	300	0.01%	0	0.00%
9	Rajshree Agarwal	100	0.00%	0	100	0.00%	0	0.00%
10	Rakesh Salarpuria	13,273	0.25%	0	100	0.00%	0	-0.25%
11	Ram Prasad Salarpuria	59,750	1.14%	0	1,24,250	2.38%	0	1.23%
12	Ram Prasad Salarpuria (Karta of G.R.Dokania HUF)	80,550	1.54%	0	80,550	1.54%	0	0.00%
13	Sharda Devi Salarpuria	72,600	1.39%	0	72,600	1.39%	0	0.00%
14	Vinita Salarpuria	25,150	0.48%	0	25,150	0.48%	0	0.00%
	<b>SUB-TOTAL (1)</b>	<b>5,19,373</b>	<b>9.93%</b>	<b>0</b>	<b>5,83,873</b>	<b>11.17%</b>	<b>0</b>	<b>1.23%</b>
<b>BODY CORPORATES</b>								
15	Baid Trade Fina Pvt. Ltd.	1,62,850	3.11%	0	1,62,850	3.11%	0	0.00%
16	Baid Finex Services Pvt. Ltd.	1,70,146	3.25%	0	1,70,146	3.25%	0	0.00%
17	Belfast Holdings Pvt. Ltd.	2,37,391	4.54%	0	2,37,391	4.54%	0	0.00%
18	Bluest Goods & Services Pvt. Ltd.	1,68,263	3.22%	0	1,68,263	3.22%	0	0.00%
19	Canton Properties Pvt. Ltd.	1,77,798	3.40%	0	1,77,798	3.40%	0	0.00%
20	Haragauri Financial Services (P) Ltd.	73,000	1.40%	0	73,000	1.40%	0	0.00%
21	J J Stock Trust Pvt. Ltd.	1,65,650	3.17%	0	1,65,650	3.17%	0	0.00%
22	Jaigania Commercials Pvt. Ltd.	46,421	0.89%	0	46,421	0.89%	0	0.00%
23	Mandya Finance Co. Ltd.	1,74,308	3.33%	0	1,74,308	3.33%	0	0.00%
24	Merlin Industrial Development Ltd.	1,72,083	3.29%	0	1,72,083	3.29%	0	0.00%
25	Mukta Commercials Pvt. Ltd.	24,791	0.47%	0	24,791	0.47%	0	0.00%
26	Neet Neel (India) Pvt. Ltd.	57,965	1.11%	0	57,965	1.11%	0	0.00%
27	Right Aid Associates Pvt. Ltd.	59,025	1.13%	0	59,025	1.13%	0	0.00%
28	Salarpuria Investment Pvt. Ltd.	10,350	0.20%	0	10,350	0.20%	0	0.00%
29	Shivgauri Jewellers Pvt. Ltd.	1,11,246	2.13%	0	1,11,246	2.13%	0	0.00%
	<b>SUB-TOTAL (2)</b>	<b>18,11,287</b>	<b>34.64%</b>	<b>0</b>	<b>18,11,287</b>	<b>34.64%</b>	<b>0</b>	<b>0.00%</b>
	<b>TOTAL (1+2)</b>	<b>23,30,660</b>	<b>44.57%</b>	<b>0</b>	<b>23,95,160</b>	<b>45.80%</b>	<b>0</b>	<b>1.23%</b>

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
	<b>RAM PRASAD SALARPURIA</b>				
	At the beginning of the year	59,750	1.14%	59,750	1.14%
	Changes during the year	64,500	1.23%	64,500	1.23%
	At the end of the year	1,24,250	2.37%	1,24,250	2.38%
	<b>APURVA SALARPURIA</b>				
	At the beginning of the year	1,47,900	1.14%	1,47,900	2.83%
	Changes during the year	6,587	0.13%	6,587	0.13%
	At the end of the year	1,54,487	1.27%	1,54,487	2.95%
	<b>ARCHANA SALARPURIA</b>				
	At the beginning of the year	38,550	1.14%	38,550	0.74%
	Changes during the year	6,586	0.13%	6,586	0.13%
	At the end of the year	45,136	1.27%	45,136	0.86%
	<b>RAKESH SALARPURIA</b>				
	At the beginning of the year	13,273	1.14%	13,273	0.25%
	Changes during the year	13,173	0.25%	13,173	0.25%
	At the end of the year	100	1.39%	100	0.00%

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	Sanjay Kumar Goel				
	At the beginning of the year	1,20,833	2.31%		0.00%
	Changes during the year	-	0.00%		0.00%



	At the end of the year	1,20,833	2.31%		0.00%
2	SUNDRAM VANIJYA PVT.LTD.				
	At the beginning of the year	1,03,900	1.99%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	1,03,900	1.99%		0.00%
3	ECO VANIJYA PVT.LTD.				
	At the beginning of the year	1,01,200	1.94%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	1,01,200	1.94%		0.00%
4	CHIRAG VINIMOY PVT.LTD.				
	At the beginning of the year	1,00,916	1.93%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	1,00,916	1.93%		0.00%
5	DESIRE TRADERS PVT.LTD.				
	At the beginning of the year	1,00,433	1.92%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	1,00,433	1.92%		0.00%
6	UNNATI VYAPAAR PVT.LTD.				
	At the beginning of the year	1,00,000	1.91%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	1,00,000	1.91%		0.00%
7	BRIJWASI MARKETING PVT.LTD.				
	At the beginning of the year	99,500	1.90%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	99,500	1.90%		0.00%
8	PORTABLE DEALING PVT.LTD.				
	At the beginning of the year	97,916	1.87%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	97,916	1.87%		0.00%

9	PROMINENT DISTRIBUTORS PVT.LTD.				
	At the beginning of the year	97,500	1.86%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	97,500	1.86%		0.00%

10	HEMLATA JHAJHARIA				
	At the beginning of the year	95,000	1.82%		0.00%
	Changes during the year	-	0.00%		0.00%
	At the end of the year	95,000	1.82%		0.00%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	Apurva Salarpuria				
	At the beginning of the year	1,47,900	2.83%	1,47,900	2.83%
	Changes during the year	6,587	0.13%	6,587	0.13%
	At the end of the year	1,54,487	2.95%	1,54,487	2.95%
2	Purushottam Lal Agarwal				
	At the beginning of the year	300	0.01%	300	0.01%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	300	0.01%	300	0.01%



**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	NIL	-	-
ii) Interest due but not paid	-		-	-
iii) Interest accrued but not due	-		-	-
Total (i+ii+iii)	-		-	-
Change in Indebtedness during the financial year				
* Addition	-	NIL	-	-
* Reduction	-		-	-
Net Change	-		-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	NIL	-	-
ii) Interest due but not paid	-		-	-
iii) Interest accrued but not due	-		-	-
Total (i+ii+iii)	-		-	-

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL****A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount (in Rs.)
	Name	Purushottam Lal Agarwal	
	Designation	Whole-time Director	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,60,000.00	3,60,000.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit	-	-
	- others, specify	-	-
5	Others, please specify	-	-
	Total (A)	3,60,000.00	3,60,000.00
	Ceiling as per the Act		

**B. Remuneration to other Directors**

SN.	Particulars of Remuneration	Name of Directors	Total Amount
1	Independent Directors		
	Fee for attending board committee meetings		-
	Commission		-
	Others, please specify		-
	Total (1)	<b>NIL</b>	-
2	Other Non-Executive Directors		-
	Fee for attending board committee meetings		-
	Commission		-
	Others, please specify		-
	Total (2)	-	-
	Total (B)=(1+2)	-	-
	Total Managerial Remuneration		3,60,000.00
	Overall Ceiling as per the Act		

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WT**

SN.	Particulars of Remuneration		Name of Key Managerial Personnel			Total Amount (in Rs.)
			Name	NIL	Gaurav Bansal	
	Designation	CEO	CFO	CS*		
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	NIL	1,85,687.00	1,24,512.00	3,10,199.00	
	(b) Value of perquisites u/s 17(2) Income-tax Act,		-	-	-	
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		-	-	-	
2	Stock Option		-	-	-	
3	Sweat Equity		-	-	-	
	Commission		-	-	-	
4	- as % of profit		-	-	-	
	- others, specify		-	-	-	
5	Others, please specify		-	-	-	
	Total	-	1,85,687.00	1,24,512.00	3,10,199.00	

**Note:** Rs. 2,89,286 was paid to the previous Company Secretary and the same has been disclosed in the Board's Report

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment		NIL			
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment		NIL			
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment		NIL			
Compounding					

For EASUN CAPITAL MARKETS LIMITED

Date: 30.05.2019

Place: Kolkata



Purushottam Lal Agarwal  
Whole-Time Director  
DIN: 00061481



Raj Kumar Jalan  
Director  
DIN: 00634156